

**ENVIRONMENTAL CONSULTANTS AND ENGINEERS
 PROFESSIONAL LIABILITY INSURANCE**

THIS IS AN APPLICATION FOR A CLAIMS MADE AND REPORTED POLICY

This Application for Environmental Consultants and Engineers Professional Liability Insurance is intended to be used for the preliminary evaluation of a submission. When completed in its entirety, this Application will enable the Underwriter to decide whether or not to authorize the binding of insurance.

THIS APPLICATION IS NOT A BINDER

1. Name of Firm: _____ Date Established: _____
 2. Address: _____ County: _____
 3. Branch Office Address(es): _____
 4. Phone: (____) _____ Fax: (____) _____
 E-Mail: _____ Website: _____
 5. Firm is: Corporation Partnership Sole Proprietorship Joint Venture

PERSONNEL

6. Specify personnel per categories below:

	Number	Number Registered/Licensed	Full-Time	Part-Time
A. Principals, Partners, Officers & Directors				
B. Architects:				
C. Engineers:				
D. Land Surveyors:				
E. Other Professionals:				
F. Technical Personnel:				
G. Total Personnel:				

GROSS RECEIPTS

7. a. Fiscal Year _____ Last Fiscal Year _____ Two Years Ago _____ Three Years Ago _____
 _____ / _____
 month year \$ _____ \$ _____ \$ _____
 b. Gross receipts projected for Current Fiscal Year: \$ _____
 c. What percent of the firm's gross receipts are from projects outside the U. S. and Canada? _____%

PROFESSIONAL DISCIPLINES

8. Specify as a percentage of the firm's gross receipts. **Total should equal 100%.**

Environmental	%	Construction/Project Management	%	Marine Engineering	%
Laboratory Testing	%	Land Surveying	%	Nuclear Engineering	%
Civil Engineering	%	Mechanical Engineering	%	Mining Engineering	%
Soils Engineering	%	Electrical Engineering	%	Oil/Gas Well Engineering	%
Hydrogeology/Geology	%	Process Engineering	%	Land Use Planning	%
Industrial Hygiene/Toxicology	%	Chemical Engineering	%	Other _____	%

SERVICES

9. Enter the firm's gross receipts attributed to the following. *Gross receipts to include reimbursable expenses and subconsulting fees.*

	Gross Receipts (Amounts in 000's)		Percentage to be Subcontracted
	Last Fiscal Year	Projected Current Fiscal Year	
ENVIRONMENTAL SERVICES			
1. Preparation of environmental studies and reports	_____	_____	_____
2. Phase I & Phase II remedial action investigations, feasibility studies, inspections and audits	_____	_____	_____
3. Remedial design with supervisory services	_____	_____	_____
4. Remedial design without supervisory services	_____	_____	_____
5. Environmental project management	_____	_____	_____
6. Preparation of environmental permit applications	_____	_____	_____
7. Laboratory analysis and testing	_____	_____	_____
8. Soil, air and water sampling/testing	_____	_____	_____
9. Training and education	_____	_____	_____
10. Preparation of manuals and other publications	_____	_____	_____
11. Underground storage tank management	_____	_____	_____
12. Other _____	_____	_____	_____
<i>TOTAL ENVIRONMENTAL</i>	_____	_____	_____
ASBESTOS CONSULTING SERVICES			
1. Air monitoring	_____	_____	_____
2. Sampling and testing	_____	_____	_____
3. Abatement design	_____	_____	_____
4. Abatement project management	_____	_____	_____
5. Other _____	_____	_____	_____
<i>TOTAL ASBESTOS</i>	_____	_____	_____
ENGINEERING SERVICES			
1. Design with construction review	_____	_____	_____
2. Design without construction review	_____	_____	_____
3. Construction review without design	_____	_____	_____
4. Project or construction management	_____	_____	_____
5. Feasibility, economic or other studies	_____	_____	_____
6. Foundation design	_____	_____	_____
7. Materials testing	_____	_____	_____
8. Boundary surveying	_____	_____	_____
9. Subsurface soils testing, soils analysis, ground testing	_____	_____	_____
10. Other _____	_____	_____	_____
<i>TOTAL ENGINEERING</i>	_____	_____	_____

PROJECTS

FINANCIAL AND OTHER INTERESTS

	Percent of Projects (must total 100%)
10. a. Schools, colleges or public buildings	_____
b. Hospitals, retirement homes or convalescent hospitals	_____
c. Hotels, motels or resort properties	_____
d. Condominiums	_____
e. Garages, theaters or grandstands	_____
f. Shopping centers	_____
g. Office/mercantile/commercial buildings	_____
h. Public utilities or industrial buildings	_____
i. Single family residential	_____
j. Apartments and other multi-unit residential	_____
k. Interior design/space planning	_____
l. Sewage or waste disposal systems	_____
m. Bridges, trestles or tunnels	_____
n. Water systems	_____
o. Land reclamation design	_____
p. Structures for offshore use	_____
q. Harbors, jetties, docks or piers	_____
r. Machine design/mechanical design	_____
s. Earth dams/reservoirs	_____
t. Pipelines	_____
u. Mines and quarries	_____
v. Nuclear projects	_____
w. Other _____	_____

For all "yes" responses to questions 12 and 13, please provide details by attachment.

12. Does the firm foresee substantial changes or contemplate any operation not detailed in questions 9-11 during the next 12 months? Yes No

13. During the past 12 months, has the firm or any principal:

- a. Become involved in a construction or real estate development company or engaged in any actual construction? Yes No
- b. Been employed by or an officer of any other firm, organization or political body? Yes No
- c. Derived more than 50% of last fiscal year's gross receipts from any one client? Yes No
- d. Designed a building, component or system which might be used on more than one project? Yes No
- e. Become involved in the manufacture or fabrication of any component, device or system? Yes No
- f. Provided electronic data processing services for others or sold software components? Yes No
- g. Been the subject of disciplinary action by authorities as a result of their professional activities? Yes No

14. Does the firm or any principal of the firm have any financial interest in any projects for which it has provided professional services? Yes No
 Is coverage for Equity Interest desired? Yes No
If yes, a Supplemental Application for Equity Interest Coverage must be submitted.

15. Has the firm entered into Joint Ventures? Yes No
 Is Coverage for Joint Ventures desired? Yes No
If yes, a Supplemental Application for Joint Venture Coverage must be submitted

CLIENTS

CONTRACTS

	Percent of Clients (must total 100%)
11. a. Government or Public Entities	
Federal	_____
State, County or Local	_____
b. Owners acting as their own builders	_____
c. Turnkey contractors	_____
d. Design/Build contractors	_____
e. Other contractors	_____
f. Developers	_____
g. Financial and lending institutions	_____
h. Other design professionals	_____
i. Other _____	_____

	Percent of Contracts (must total 100%)
16. Please specify types of contracts used by the firm:	
a. Standard industry contract (EJCDC, AIA, ASFE, etc.)	_____
b. Firm's standard contract	_____
c. Letter agreement	_____
d. Purchase order	_____
e. Client contract	_____
f. Oral agreement	_____

REMEDIAL ACTION SERVICES

17. Does the firm contract or subcontract to provide hands-on remediation services? Yes No
If yes, a Supplemental Application for Remedial Action Services must be submitted.

SUBCONTRACTORS / SUBCONSULTANTS

18. a. Describe nature of work the firm subcontracts to others:

b. Describe the firm's subcontractor and subconsultant selection process:

- c. Are all subcontractors and subconsultants hired under a written contract? Yes No
- d. Do the firm's contracts with subcontractors and subconsultants contain hold harmless and indemnification provisions? Yes No
- e. Does the firm obtain certificates of insurance from all subcontractors and subconsultants? Yes No
- f. Is the firm named as an Additional Insured under all subcontractor and subconsultant General Liability policies? Yes No

QA / QC ISSUES

19. Does the firm have an Ownership of Documents clause in each contract of hire? Yes No

If no, what does the firm do to protect itself against reuse of its plans and specifications without knowledge or authorization? _____

20. Does the firm have a written Quality Assurance/Quality Control Program? Yes No

21. Does the firm have a Health & Safety Plan? Yes No

LIABILITY ISSUES

22. a. Has the firm made adjustments or goodwill payments in any disputes involving its services? Yes No
If yes, please explain in detail.

- b. Have any Professional Liability claims been made against the firm or any of its members? Yes No
If yes, please use the Claim/Incident Information Supplement provided with this Application.

- c. Does the firm or any of its members have any knowledge of prior acts, errors or omissions which might reasonably be expected to give rise to a claim under this insurance? Yes No
If yes, please explain in detail.

- d. Does the firm or any of its members have knowledge of any deficiencies, property damage or bodily injury, whether actual or alleged, in connection with projects for which the firm has performed professional services? Yes No
If yes, please explain in detail.

- e. Does the firm have any pending dispute concerning the payment of fees to the firm for services rendered? Yes No
If yes, please explain in detail.

- f. Does the firm or any of its members have any knowledge of any circumstance, incident, situation, accident, condition or unresolved job controversy or other matter which might give rise to a claim under this insurance? Yes No
If yes, please explain in detail.

- g. Has the firm or any of its members testified, provided expert testimony or given a deposition or statement in any disputes or proceedings where claim has been made or suit filed against any party to the work or project where the firm provided professional services? Yes No
If yes, please explain in detail.

- h. Has the firm or any of its members given notice to any other Professional Liability underwriter of any actual or alleged act, error, omission, deficiency, property damage or bodily injury, circumstance, incident, situation, accident, unresolved job controversy or fee dispute which could result in a claim? Yes No
If yes, please use the Claim/Incident Information Supplement provided with this Application.

INSURANCE HISTORY

23. Has any insurer cancelled or refused to renew any similar insurance issued to the firm or any of its members?
If yes, please explain in detail. Yes No

24. Please detail Professional Liability insurance for the past five years. Show current policy and prior four years.

COMPANY	TERM	LIMIT	DEDUCTIBLE	PREMIUM
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Retroactive date on current policy: _____

25. Please provide current General Liability policy information:

COMPANY	TERM	LIMIT	DEDUCTIBLE	PREMIUM
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26. Does the firm currently have in effect, or has it ever obtained, separate insurance coverage for Pollution Liability?
If yes, please explain in detail Yes No

NOTE: The insurance that may be offered here will not protect you from Professional Liability claims on projects that are or were separately insured by us or other companies unless they are endorsed on your policy.

The applicant has read the foregoing and understands that completion of this Application does not bind the Underwriter or the Broker to provide coverage. It is agreed, however, that this Application is complete and correct to the best of applicant's knowledge and belief and that all particulars which may have a bearing upon acceptability as a Professional Liability Insurance risk have been revealed. It is understood that this Application shall form the basis of the contract should the Underwriter approve coverage and should the applicant be satisfied with the Underwriter's quotation.

It is further agreed that, if in the time between submission of this Application and the requested date for coverage to be effective, the applicant becomes aware of any information which would change the answers furnished in response to Question 22 of this Application, such information shall be revealed immediately in writing to the Underwriter.

Must be signed by Owner, Partner, or Officer.

 Print or Type Your Name

 Title

 Signature of Applicant

 Date



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